## Dihydro[c]benzazepin-3-ones via Conjugated Nitrone—Allene Precursors

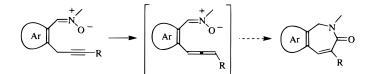
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Received February 17, 2000

## ABSTRACT

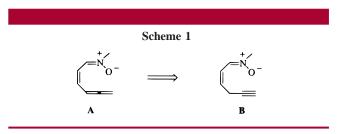


Treatment of *o*-propargylaryl nitrones with base provided 1,2-dihydro[*c*]benzazepin-3-ones in good yields. The straightforward transformation is explained on the basis of a multistep rearrangement involving conjugated allene–nitrones as precursors of a 1,7-dipolar electrocyclization process that is followed by further bond reorganizations.

The participation of an allene unit in pericyclic processes is amply documented in many [2 + 2] cycloadditions,<sup>1</sup> Diels– Alder reactions,<sup>2</sup> and the corresponding 1,3-dipolar methodology.<sup>3</sup> Much less common are examples involving electrocyclic ring closures of allene systems.<sup>4</sup> We have been engaged for some years in studies directed toward the application of 1,7-dipolar cyclization reactions<sup>5</sup> in order to develop new methods in heterocyclic synthesis. After the extensive use of dipoles bearing butadienyl and butenynyl groups as  $4\pi$ -moieties, leading to a variety of new five-, six-, and seven-membered heterocycles,<sup>6</sup> we now report on results with nitrones derivatives of type **A** (Scheme 1).<sup>7</sup>

It was the intention of this work to generate the allene unit of  $\mathbf{A}$  by base-catalyzed tautomerization of the corresponding propargyl derivative  $\mathbf{B}$ . The experiments were performed with the benzannulated nitrone system **6**, which is available by the route sketched in Scheme 2.

(3) For a recent review, see: Broggini, G.; Zecchi, G. *Gazz. Chim. Ital.* **1996**, *126*, 479–488.



Starting with the bromo aldehydes 1, carbonyl protection and subsequent Grignard reaction gave compounds 2, which after liberation of the aldehyde function, afforded the nitrones **6d,e,n** by treatment of the aldehydes 5 with methyl and phenyl hydroxylamine, respectively. The nitrones **6f**-**k**,**o** were made available from 2 by sequential hydrodesilylation ( $\rightarrow$  3), introduction of a terminal substituent ( $\rightarrow$  4) by the Sonogashira method<sup>8</sup> or *n*-butyllithium/methyl iodide treatment, deprotection ( $\rightarrow$  5), and nitrone formation. For the

## ORGANIC LETTERS 2000 Vol. 2, No. 8 1117–1120

<sup>(1) (</sup>a) Hopf, H. In *The Chemistry of Allenes*; Landor, S. R., Ed.; Academic Press: New York, 1982; Vol. 2, pp 525–562. (b) Pasto, D. J. *Tetrahedron* **1984**, *40*, 2805–2827.

<sup>(2) (</sup>a) Carruthers, W. Cycloaddition Reactions in Organic Synthesis; Pergamon Press: Oxford, 1990. (b) Larock, R. C. Comprehensive Organic Transformations; Wiley-VCH: Weinheim, 1999; p 541. See also ref 1a.

<sup>(4)</sup> Hopf, H. In *The Chemistry of Allenes*; Landor, S. R., Ed.; Academic Press: New York, 1982; Vol. 2, pp 563-577.

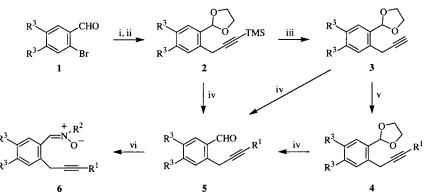
<sup>(5)</sup> Reviews on 1,7-dipolar cyclizations: (a) Zecchi, G. Synthesis **1991**, 181–188. (b) Groundwater, P. W.; Nyerges, M. Adv. Heterocycl. Chem. **1999**, 73, 97–129.

<sup>(6) (</sup>a) Eberbach, W.; Trostmann, U. Chem. Ber. 1985, 118, 4035-4058.
(b) Eberbach, W.; Roser, J. Tetrahedron 1986, 42, 2221-2234. (c) Maier,
W.; Eberbach, W.; Fritz, H. Helv. Chim. Acta 1991, 74, 1095-1101. (d)
Bussenius, J.; Laber, N.; Müller, T.; Eberbach, W. Chem. Ber. 1994, 127, 247-259. (e) Lopez-Calle, E.; Höfler, J.; Eberbach, W. Liebigs Ann. Chem. 1996, 1855-1866. (f) Marx, K.; Eberbach, W. Chem. Eur. J. 2000, in press.

<sup>(7)</sup> Knobloch, K. Part of the forthcoming Dissertation, University Freiburg i. Br.

<sup>(8)</sup> Sonogashira, K. In *Metal-Catalyzed Cross-Coupling Reactions*; Diederich, F., Stang, P. J., Eds.; Wiley-VCH: Weinheim, 1998; pp 203-229.





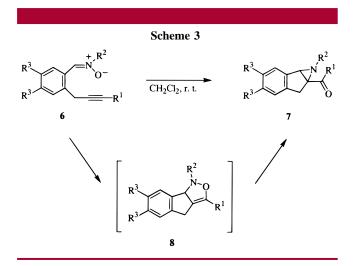
i: HOCH,CH,OH, PTSA; 91-98%.- ii: a) *n*-BuLi; b) MgBr.; c) Br-CH<sub>2</sub>-C≡C-TMS; 64-89%.- iii: NBu,HSO<sub>4</sub>, NH<sub>4</sub>Cl, KF; 82-93%.- iv: PTSA, acetone/water; 84-95%.- v: Arl, PdCL(PPh.), Cul, NEt., or a) *n*-BuLi, b) CH,I, 66-82%.- vi: CH,NHOH·HCl, NaOAc or PhNHOH, CH<sub>2</sub>Cl.; 53-86%.

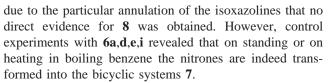
|                | а  | b  | С                | d   | e   | f  | g  | h  | i  | j                | k     | 1   | m   | n   | 0     |
|----------------|----|----|------------------|-----|-----|----|----|----|----|------------------|-------|-----|-----|-----|-------|
| R <sup>1</sup> | Н  | Н  | Н                | TMS | TMS | Me | Me | Ph | Ph | Ph               | pMePh | Н   | н   | TMS | pMePh |
| $\mathbf{R}^2$ | Me | Ph | CMe <sub>3</sub> | Me  | Ph  | Me | Ph | Me | Ph | CMe <sub>3</sub> | Me    | Me  | Ph  | Me  | Me    |
| R <sup>3</sup> | Н  | Н  | H                | Н   | н   | н  | н  | н  | н  | н                | Н     | OMe | OMe | OMe | OMe   |

synthesis of 6a-c,l,m direct deprotection of  $3 (\rightarrow 5)$  was followed by reaction with the corresponding hydroxylamines (see Scheme 2).

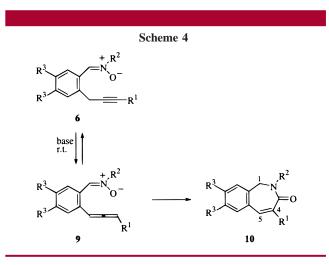
In some cases the <sup>1</sup>H NMR spectra of the crude products containing the nitrones **6** as major component showed additional signals of a product that turned out to belong to the epimino indenes **7**. The formation of **7** is explained by a known pathway involving a 1,3-dipolar cycloaddition reaction affording the isoxazolines **8**, which are subsequently transformed into the aziridines **7** (Scheme 3).<sup>9,10</sup> It must be

methanol in the presence of 0.5-1 equiv of sodium methylate or potassium hydroxide. In the case of the *N*-methyl derivative **6a** total conversion was reached after 0.5 h, resulting in a reaction mixture which, after aqueous workup and flash chromatographic purification, gave a single monomeric product in 84% yield. The structural identification of the compound as the dihydrobenzazepin-3-one **10a** (Scheme 4) is based on the elemental analysis and the MS





The cyclization experiments were typically carried out at room temperature by stirring solutions of the nitrones 6 in



and other spectroscopic data. In the IR spectrum there is a strong band at  $1650 \text{ cm}^{-1}$  indicating a carbonyl group; the<sup>1</sup>H

<sup>(9)</sup> The ring transformation of type  $\mathbf{8} \rightarrow \mathbf{7}$  can be brought about either by thermal or photochemical activation of 4-isoxazolines; in the latter case the isolation of intermediate azomethine ylides was successfully accomplished: Lopez-Calle, E.; Eberbach, W. J. Chem. Soc., Chem. Commun. **1994**, 301–302.

<sup>(10)</sup> For thermal tranformations of type  $8 \rightarrow 7$ , see: (a) Huisgen, R.; Niklas, K. *Heterocycles* **1984**, *22*, 21–26. (b) Padwa, A.; Wong, G. S. K. *J. Org. Chem.* **1986**, *51*, 3125–3133. (c) Mullen, G. B.; Bennet, G. A.; Georgiev, V. St. *Liebigs Ann. Chem.* **1990**, 109–110.

NMR spectrum shows, besides signals in the aromatic region and the singlet for the *N*-methyl group, a singlet for the methylene protons at C-1 and an AB pattern ascribed to the olefinic protons H-4 and H-5 (see Table 1). The final proof of structure **6a** came from the results of an X-ray analysis.<sup>11</sup>

**Table 1.** Yields and Selected <sup>1</sup>H NMR Data for Azepinones  $10^{a}$ 

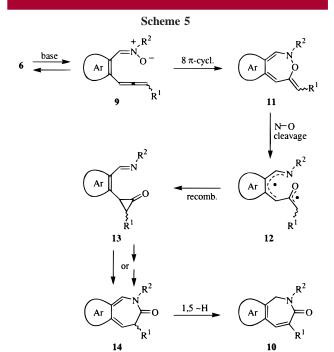
|   |                          | <sup>1</sup> H NMR data |                         |                         |                       |  |  |  |  |
|---|--------------------------|-------------------------|-------------------------|-------------------------|-----------------------|--|--|--|--|
|   | yield (%)                | $\delta_{1-\mathrm{H}}$ | $\delta_{4-\mathrm{H}}$ | $\delta_{5-\mathrm{H}}$ | J <sub>4,5</sub> (Hz) |  |  |  |  |
| а | <b>84</b> <sup>b</sup>   | 4.24                    | 6.41                    | 7.08                    | 12.2                  |  |  |  |  |
| b | 84 <sup>c</sup>          | 4.66                    | 6.55                    | 7.20                    | 12.2                  |  |  |  |  |
| с | 85 <sup>c</sup>          | 4.27                    | 6.36                    | 6.98                    | 12.1                  |  |  |  |  |
| d | $24^{b,d}$               | 4.12                    |                         | 7.20                    |                       |  |  |  |  |
| e | 68 <sup>c,e</sup>        | 4.55                    |                         | ${\sim}7.2^{f}$         |                       |  |  |  |  |
| f | <b>86</b> <sup>b,g</sup> | 4.10                    |                         | 6.89                    |                       |  |  |  |  |
| g | $46^{b,g}$               | 4.57                    |                         | 7.06                    |                       |  |  |  |  |
| h | $75^{b}$                 | 4.50                    |                         | $\sim 7.4^{f}$          |                       |  |  |  |  |
| i | $40^{b}$                 | 4.72                    |                         | $\sim 7.4^{f}$          |                       |  |  |  |  |
| j | $77^{b}$                 | $4.24/4.53^{h}$         |                         | 7.20                    |                       |  |  |  |  |
| k | <b>64</b> <sup>c</sup>   | 4.28                    |                         | 7.28                    |                       |  |  |  |  |
| 1 | 77 <sup>b</sup>          | 4.18                    | 6.33                    | 6.99                    | 12.2                  |  |  |  |  |
| m | 93 <sup>c</sup>          | 4.58                    | 6.44                    | 7.09                    | 12.2                  |  |  |  |  |
| n | $25^{c,i}$               | 4.08                    |                         | 7.13                    |                       |  |  |  |  |
| 0 | <b>76</b> <sup>c</sup>   | 4.22                    |                         | 7.21                    |                       |  |  |  |  |

<sup>*a*</sup> Reaction conditions: 0.2 molar in MeOH, 0.5–1 equiv of base, 0.5–5 h (**f**, 24 h; **g**, 20 h), rt. <sup>*b*</sup> Base: NaOMe. <sup>*c*</sup> Base: KOH. <sup>*d*</sup> +29% **a**. <sup>*e*</sup> +26% **b**. <sup>*f*</sup> Partially covered by signals of Ar-H. <sup>*g*</sup> Solvent: CH<sub>2</sub>Cl<sub>2</sub>. <sup>*h*</sup>  $J_{1a,1b}$ = 15.3 Hz. <sup>*i*</sup> +43% **l**.

The conversion of type  $6 \rightarrow 10$  turned out to be quite general and was successfully accomplished for many different derivatives. Thus, under analogous conditions the nitrones 6b-o afforded the corresponding benzazepinones 10b-o with yields up to 93%. As a result of partial hydrodesilylation during the reaction of 6d,e,n the additional amount of 10a, 10b and 10l, respectively, has to be added to the yields given in the table.

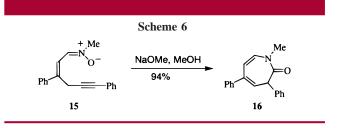
With regard to the conformational mobility of the annulated azepinone system, only the *N-tert*-butyl 4-phenyl compound **10j** has some rigidity under ambient conditions. According to the <sup>1</sup>H NMR spectra the methylene protons at C-1 are not equivalent and therefore give rise to a pair of doublets (J = 15.3 Hz).

From the inspection of the structural differences of the starting and final products, **6** and **10**, it becomes clear that there is no simple connection between the nitrone and azepinone compounds. The most striking feature concerns the interchange of the terminal and central positions of the allene unit of **9**, i.e., the carbon atom connected to R<sup>1</sup> ends up at C-4 of **10**, which is now flanked by both former allene carbons. A possible but still tentative mechanism for the observed transformation includes the sequence outlined in Scheme 5: (i) propargyl-allene tautomerization (**6**  $\rightarrow$  **9**),<sup>12</sup>



(ii)  $8\pi$ -cyclization  $9 \rightarrow 11$ ,<sup>5,6</sup> (iii) N–O cleavage ( $11 \rightarrow 12$ ),<sup>6d,e,13</sup> (iv) diradical recombination ( $12 \rightarrow 13$ ), (v) oneor two-step cyclization of the azadienyl cyclopropanone 13 to 14, and finally, (vi) 1,5-H shift  $14 \rightarrow 10$ . There is precedent at least for the first three steps of this pathway (see references).

The transformation  $6 \rightarrow 10$  is not restricted to benzannulated derivatives. Preliminary work has shown that the same reaction takes place with alkenoannulated compounds and also with simple linear derivatives. For instance, treatment of the diphenyl nitrone 15 with NaOMe/MeOH produces the corresponding azepinone 16 in 94% yield; in this case there is no final H-migration (Scheme 6).



Despite the structural simplicity of 1,2-dihydro[*c*]benzazepin-3-ones **10**, there are only a few synthetic methods available, mostly used for the synthesis of further annulated compounds,<sup>14</sup> which frequently possess biological activity.<sup>15</sup> The parent compound of **6** (**6a**, NH instead of NMe) has

<sup>(11)</sup> Knobloch, K.; Keller, M.; Eberbach, W. paper in preparation.
(12) (a) Taylor, D. R. *Chem. Rev.* **1967**, *67*, 317–359. Grimaldi, J., Bertrand, M. *Bull. Soc. Chim. Fr.* **1971**, 947–957; 4316–4320.

<sup>(13) (</sup>a) Eberbach, W.; Roser, J. *Tetrahedron Lett.* 1987, 28, 2689–2692.
(b) Eberbach, W.; Laber, N. *Tetrahedron Lett.* 1992, 33, 61–64.

<sup>(14) (</sup>a) Gschwend, H. W.; Hamdan, A. J. Org. Chem. 1982, 47, 3652–3657. (b) Röhrkasten, R.; Kreher, R. P. Chem. Ber. 1991, 124, 2085–2090.
(c) Kai, H.; Yamauchi, M.; Murai, S. Tetrahedron Lett. 1997, 38, 9027–9030.

been synthesized in modest yield by irradiation of  $\beta$ -azidonaphthalene in methanol and subsequent hydrolysis of the iminoether.<sup>16</sup> The novel access to **10** described in this paper is a useful and widely applicable method for simple and more complex derivatives of this heterocyclic system.<sup>17</sup>

3848. (17) **Typical procedure**, exemplified for **10a**, is as follows. The solution of **6a** (100 mg, 0.58 mmol) and sodium methylate (16 mg, 0.29 mmol) in 4 mL of dry methanol was stirred at room temp. After complete conversion (0.5 h, monitored by TLC) the solution was diluted with water (10 mL) **Acknowledgment.** This work was supported by the Fonds der Chemischen Industrie.

**Supporting Information Available:** Full characterization data of compounds **7a,d,e,i**, **10a**–**o**, and **16**. This material is available free of charge via the Internet at http://pubs.acs.org.

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<sup>(15) (</sup>a) Hawthorne, J. O.; Mihelic, E. L. U.S. Patent 3,668,232, 1972; *Chem. Abstr.* 1972, 77, P101199s; U.S. Patent 3,551,414v, 1970; *Chem. Abstr.* 1971, 74, P125484. (b) Gorshkova, V. K.; Saratikov, A. S.; Tignibidina, L. G. *Pharm. Chem. J. (Engl. Transl.)* 1994, 28, 158–162. (16) Rigaudy, J.; Igier, C.; Barcelo, J. *Tetrahedron Lett.* 1975, *16*, 3845–

and then extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 × 10 mL). The combined organic phase was washed successively with saturated NH<sub>4</sub>Cl and NaCl solutions, dried, and concentrated. Flash chromatography of the residue (SiO<sub>2</sub>, cyclohexane/ ethyl acetate 1:1, 1:2) gave **10a** (84 mg, 84%) as a white solid; mp 132–133 °C (ethanol).